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TRANSMITTAL LETTER

INFORMATION DISCLOSURE STATEMENT

Applicant : Hayashi et al.
 App. No : 10/565,696
 Filed : January 24, 2006
 For : RESIN FOR RESIST, POSITIVE RESIST
 COMPOSITION, AND METHOD OF
 FORMING RESIST PATTERN
 Examiner : Robert D. Harlan
 Art Unit : 1713

CERTIFICATE OF MAILING

I hereby certify that this correspondence and all
 marked attachments are being deposited with
 the United States Postal Service as first-class
 mail in an envelope addressed to:
 Commissioner for Patents, P.O. Box 1450,
 Alexandria, VA 22313-1450, on

December 5, 2006

(Date)
Neil S. Bartfeld, Ph.D., Reg. No. 39,901

Mail Stop Amendment
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

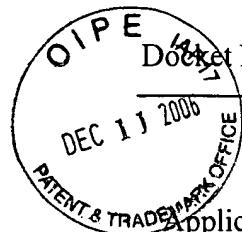
(X) An Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:

(X) Listing 6 references.
 (X) Enclosing 6 references.

(X) Return prepaid postcard.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

Neil S. Bartfeld, Ph.D.
 Registration No. 39,901
 Agent of Record
 Customer No. 20,995
 (619) 235-8550



Docket No.: SHIGA7.043APC

Customer No. 20,995

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Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 6 references to be considered by the Examiner. Also enclosed are 6 foreign patent references and/or non-patent literature as listed on the Information Disclosure Statement.

This Information Disclosure Statement is being filed before the mailing date of a final action and before the mailing date of a Notice of Allowance.

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than 30 days prior to the filing of this Information Disclosure Statement.

Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c).

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

By: _____

Neil S. Bartfeld, Ph.D.
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(619) 235-8550

Dated: December 5, 2005



INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Multiple sheets used when necessary)

SHEET 1 OF 1

Application No.	10/565,696
Filing Date	January 24, 2006
First Named Inventor	Ryotaro Hayashi
Art Unit	1713
Examiner	Robert D. Harlan
Attorney Docket No.	SHIGA7.043APC

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ¹
	1	EP 1 596 251 A1	11-16-2005	Tokyo Ohka Kogyo Co., Ltd.		
	2	EP 1 643 306 A1	04-05-2006	Tokyo Ohka Kogyo Co., Ltd.		
	3	EP 1 452 919 A1	09-01-2004	Tokyo Ohka Kogyo Co., Ltd.		
	4	EP 1 452 918 A1	09-01-2004	Tokyo Ohka Kogyo Co., Ltd.		
	5	EP 1 452 917 A1	09-01-2004	Tokyo Ohka Kogyo Co., Ltd.		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ¹
	6	A copy of the European Search Report for corresponding European Patent Application No. 04.711696.2-2109 dated November 15, 2006. A copy of the letter acknowledging receipt (on November 27, 2006) of the Search Report by the Foreign Associate is included.	

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Examiner Signature	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

¹ - Place a check mark in this area when an English language Translation is attached.